

To: USPD (kl)

FROM: COMMISSIONER OF PATENTS
AND TRADEMARKS

THE PATENT AND TRADEMARK OFFICE
MAIL ROOM STAMP HEREON ACKNOWLEDGES
RECEIPT OF: Response to NOTIFICATION OF
MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) with Certificate
of Mailing (2 pages); copy of NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED
OFFICE (DO/EO/US) dated July 6, 2001 (1 page); copy of Notification of a Defective
Oath or Declaration dated July 6, 2001 (1 page); executed Declaration/Power (5
pages); Check No. 13782 for \$65 to cover surcharge; Verified Statement Claiming
Small Entity Status--Small Business Concern (2 pages) with Cover Sheet with
Certificate of Mailing (1 page); and this postcard.

Our reference:

B-4208PCT 618872-5

Express Mail No.

EL915795298US

Date mailed:

September 5, 2001

IN CONNECTION WITH:
Dong Hun JANG, et al.

533 Rec'd PCT/PTO

06 SEP 2001

STAMP

FOR: "MICROPOROUS SOLID ELECTROLYTES
AND METHODS FOR PREPARING THEM"

U.S. SERIAL NUMBER: 09/868,227



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/868,227	09/06/2001 09/05/2001	1771	565	B-4208PCT 61	3	9	2

CONFIRMATION NO. 6100

FILING RECEIPT



OC000000006800906

Mavis S Gallenson
Ladas & Parry
5670 Wilshire Blvd Suite 2100
Los Angeles, CA 90036-5679

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dong Hun Jang, Seoul, KOREA, REPUBLIC OF;
Sa Heum Kim, Sunnam-City, KOREA, REPUBLIC OF;
Han Jun Kim, Sunnam-City, KOREA, REPUBLIC OF;
Sung Min Hong, Seoul, KOREA, REPUBLIC OF;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/KR99/00798 12/21/1999

Foreign Applications

REPUBLIC OF KOREA 1998/57031 12/22/1998

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Microporous solid electrolytes and methods for preparing them

Preliminary Class

428

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).



E 15795298US

Express Mail No. EL915795298US

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US)

Applicant(s): Dong Hun JANG, et al.

Re: RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES
DESIGNATED/ELECTED OFFICE
(DO/EO/US)

U.S. Serial No.: 09/868,227

Group Art Unit: not yet assigned

INTERNATIONAL APPLICATION NO.:
PCT/KR99/00798

Our Ref.: B-4208PCT 618872-5

INTERNATIONAL FILING DATE:
21 December 1999

For: "MICROPOROUS SOLID ELECTROLYTES
AND METHODS FOR PREPARING THEM"

Date: September 5, 2001

Commissioner for Patents
BOX PCT
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Winston M. Alvarado
U.S. National Stage Processing
PCT International Division

Dear Mr. Alvarado:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated July 6, 2001 (copy enclosed) and the Notification of a Defective Oath or Declaration dated July 6, 2001 (copy enclosed), please find enclosed the following:

1. Declaration/Power signed by the inventors identifying the application by U.S. application number, PCT international application number, and PCT international filing date;
2. Check in the amount of \$65.00 to cover the small-entity surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date; and
3. A Verified Statement that this filing is by a small entity with a separate cover sheet.

Letter to: Missing Requirements
International Appln. No. PCT/KR99/00798
U.S. Serial No. 09/868,227
September 5, 2001
Page 2

AUTHORIZATION TO CHARGE ADDITIONAL FEES

[X] The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.

[X] 37 CFR 1.16 (filing fees and presentation of extra claims)

[X] 37 CFR 1.17 (application processing fees)

[] 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

Respectfully submitted,



Mavis S. Gallenson
Attorney for the Applicant
Registration No.: 32,464
LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679
Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date September 5, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL915795298US addressed to the:

Commissioner for Patents
BOX PCT
United States Patent and Trademark Office
Washington, D.C. 20231



Karyn Lao

Date: September 5, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dong Hun JANG, et al.)
)
U.S. Appln. No.: 09/868,227) Group: 1771
)
U.S. Filing Date: September 5, 2001) Examiner: not yet assigned
(as amended))
International Application No:)
PCT/KR99/00798)
) Our Ref: B-4208PCT 618872-5
International Filing Date:)
21 December 1999)
)
For: "MICROPOROUS SOLID ELECTROLYTES)
AND METHODS FOR PREPARING THEM") Date: October 9, 2002

Box DAC
Commissioner for Patents
Washington, D.C. 20231

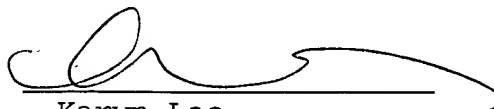
Sir:

VERIFICATION

I am the person who mailed the "Response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)" pursuant to 37 CFR. 1.10 on September 5, 2001; and I verify that the "Response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)" with all of the items required under 35 U.S.C. 371 were deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on September 5, 2001.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: October 9, 2002


Karyn Lao

Manager, Customer Services
BICENTENNIAL STATION



October 1, 2001



Commissioner of Patents
& Trademarks
Box New Patent Applications
Washington D.C. 20231

To whom it may concern

On September 5, 2001, we, the U.S. Postal Service picked up Express Mail item #EL915795298US from our customer, Ladas & Parry. Regrettably, we mishandled this item and it entered our mailstream on September 6, 2001, a complete day later. Clearly the U.S. Postal Service is responsible for this unfortunate incident, not the sender.

Please accept this letter and copy of the Pickup Service Statement, PS Form 5541, as irrefutable documentation that our customer mailed this item on September 5, 2001.

Sincerely

A handwritten signature in cursive script, appearing to read "Phil Cascio".

Phil Cascio
Manager, Customer Services
Bicentennial Station
323 933-5953



Pickup Service Statement for Express Mail, Priority Mail, or Parcel Post



2. Customer Name and Address (No., Street, Suite No., City, and State)

LADAS & PARRY
5670 WILSHIRE BLVD STE 2100
LOS ANGELES CA 90036-5620

ZIP + 4

9 0 0 3 6 - 5 6 2 0

3.

Express Mail	Quantity
Priority Mail	Quantity
Parcel Post	Quantity

4. Custom Design Agreement

CDA No.

5. Method of Payment

☐ Corporate Account

No.

☐ Federal Agency Account

No.

☒ Meter Strip or Stamps
(Apply fee in Item 1 above)

6. Express Mail Label Numbers

Item No.	Express Mail Label Number	Item No.	Express Mail Label Number	Item No.	Express Mail Label Number
1	EL715795298US	6		11	
2	EL896634759US	7		12	
3	EL651803643US	8		13	
4	EL860198302US	9		14	
5	EL860198571US	10		15	

7. Customer Signature

[Signature]

8a. USPS Signature

[Signature]

8b. Date of Pickup

9-6-01

8c. Time of Pickup

5:45 PM

PS Form 5541-C, January 2001

3 - Customer Copy